# Downregulation of Forkhead Transcription Factor (FOXO3a) Contributes to Tumorigenesis of Acute Myeloid Leukemia and Chronic Myeloid Leukemia

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#### ABSTRACT

The expression of the FOXO3a gene, and its role in acute myeloid leukemia and chronic myeloid leukemia were investigated in the present study. We analyzed 101 patients diagnosed with AML, and CML, and 34 healthy individuals. The cDNAs obtained from the blood samples of patients, and healthy controls were analyzed by the Real-Time PCR using specific primers, and probes for the FOXO3a and ACTB genes. A 50-fold decrease in FOXO3a expression levels was detected in CML patients, and 8-fold decrease was detected in AML patients compared with the levels in the healthy controls. Significant difference was detected between the patients, and healthy controls (p= 0.000). However, there was no statistically significant difference between the CML and AML patient groups for FOXO3a expression level. The decrease in FOXO3a gene expression in all CML (51/51), and AML patients (50/50) was remarkable. The FOXO3a gene expression was downregulated in 91.8% (124/135) of all individuals included in the study. The present study might be an important report on emphasizing the expression profiles of FOXO3a gene in AML, and CML patients. Whether the FOXO3a gene is a valuable biomarker for early diagnosis and prognosis in CML and AML patients need to be investigated in larger study groups.

Keywords: AML, CML, Leukemia, FOXO3a, Gene expression

#### **INTRODUCTION**

Leukemia is a malignant hematologic disease detected at any age group. Each year, 437.033 people are diagnosed with leukemia and 3.2% of the patients die of leukemia worldwide.<sup>1</sup> Leukemias are divided into two groups as acute and chronic based on the percentage of blasts or leukemia cells in bone marrow or blood. Acute and chronic subgroups are also further divided into two subgroups and are named as myeloid or lymphoid based on the predominant lineage of the malignant cells.<sup>2</sup> In general there are four major types of leukemia including acute myeloid leukemia (AML), chronic myeloid leukemia (CML), acute lymphoblastic leukemia (ALL), and chronic lymphocytic leukemia (CLL).<sup>3</sup> Previous all epidemiologic and genetic studies demonstrated that leukemia has a multiple stage, and complex oncogenic process. Particularly the genetic and molecular changes which enable the malignant transformation of blood cells have significant contributions to the pathogenesis of leukemia, and disease heterogeneity.3 Therefore, understanding the genetic basis and molecular events of leukemia may provide new data on the diagnosis, prognosis, and treatment of leukemia. Forkhead box (FOX) proteins are the transcription factor protein family which are evolutionary protected. FOX proteins are the significant transcription factors which regulate the expressions of the genes associated with cell growth, cell proliferation, cell differentiation, and long life.4

FOX family is divided into various subfamilies such as FOXM, FOXK, FOXA, and FOXO families.<sup>5</sup> Forkhead O transcription factors (FOXO) constitute one of the largest sub-groups of the forkhead family. FOXO family emerges as the common components of the pathways which regulate the various cellular functions including the inhibition of cell cycle, cell death, DNA repair, and stress stimulators, and which have critical roles in related physiologic and pathologic processes. FOXO family consists of four different members named as FOXO1, FOXO3a, FOXO4, and FOXO6 which are known to be regulated by the phosphoinositide 3-kinase (PI3K)-PKB signal pathway in mammals. FOXO family was shown to regulate the developmental processes, energy metabolism, and in addition to tumorigenesis in various tissues. The deregulation of FOXO functions results with carcinogenesis by causing uncontrolled cellular proliferation and accumulation of the DNA damage. A member of the FOXO sub-family, FOXO3a gene also known as FOXO3 is located on the 6q21 chromosome, and has important roles in the regulation of various cellular events such as apoptosis<sup>6</sup>, proliferation<sup>7</sup>, cell cycle progression<sup>8</sup> and survival.<sup>9,10</sup>

The dysregulation of FOXO3a expression is known to be possibly associated with various diseases mainly cancer.10 The aberrant expression of FOXO has a significant role in development of leukemia. Deregulation of FOX proteins may change the cell fate by playing a key role in various cancers including leukemia.11 Therefore, FOX proteins constitute the potential targets for the diagnosis and treatment of various cancer. Conducted studies showed that FOXO proteins were associated with various biologic procedures such as in development, and progress of leukemia , and drug resistance after chemotherapy.3 Inhibition of FOXO function also by BCR-ABL1 transformation is a potentially important event even in hematological malignancies such as leukemias.12

The aim of the present study is to revea the complex regulatory mechanisms of FOXO3a gene in leukemia, and its critical role in leukemia pathogenesis. The role of FOXO3a gene in hematologic tumors such as AML, and CML was investigated in the scope of the study to highlight the potential roles of FOXO3a in leukemia as therapeutic targets, and the prognostic indicators were discussed.

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# MATERIALS AND METHODS

# **Study Design and Patient Characteristics**

The patients who presented to Istanbul University, Oncology Institute between 2010-2019 were selected. A study group of 135 individuals was created consisting of 51 patients diagnosed with CML, 50 patients diagnosed with AML, and 34 healthy individuals who were matched for age and sex with the patients. Particularly the untreated patients were recruited for the study. The individuals in the healthy control group were selected from the family with no history of cancer in their past 3 generations.

BCR-ABL1 fusion gene t (9; 22) translocation, and survival status of CML and AML patients were obtained from patient files registered in the Oncology Institute system of Istanbul University. BCR-ABL1 fusion gene was quantitatively evaluated using the Real-Time PCR method. The BCR-ABL1 fusion gene was quantitatively evaluated in routine practice of oncology using the Real-Time PCR method.

#### Lymphocyte Separation from Whole Blood

Lymphocyte separation was performed using the Ficoll (Sigma-Aldrich, Darmstadt, Germany) separation method. First, 10 mL Ficoll was included in a centrifuge tube, and the diluted blood was attentively poured into the Ficoll solution. After the tubes were centrifuged at 700 xg for 30 min at room temperature, the white blood cells formed as circles were collected using a sterile pipette end without touching the Ficoll. Then, the white blood cells were diluted using 4 mL PBS, and placed in a cryotube. The precipitate was directly freezed and stored at  $-80^{\circ}$ C after the tubes were centrifuged at 700 g for 3-4 min at room temperature.

#### **RNA Extraction from Lymphocytes**

The blood cells were differentiated using the total RNA TRIzol reactive (ROCHE, Taufkirchen, Germany). First, the lymphocyte cells were homogenised in 1 mL TRIzol, and were left for incubation on ice for 5 minutes after including 200  $\mu$ L chloroform. The mixture was centrifuged at 4<sup>o</sup>C for 15 minutes at 13.000 g. The RNA phase was transferred to a new tube including 500 mL propanol. Then, the mixture was centrifuged at 4°C for 10 minutes at 13.000 g. RNA involving pellet was washed using 75% alcohol, and dried at room temperature, and was dissolved in 30  $\mu$ L RNase-free water.

## **cDNA** Synthesis

cDNA synthesis was performed from the RNAs obtained from the blood samples of the patients, and healthy controls using the RevertAid<sup>TM</sup> First Strand cDNA Synthesis Kit (Fermentas, Massa-chusetts, USA) protocol. First, 5  $\mu$ L RNA, 1  $\mu$ L random primer, and 6  $\mu$ L RNase-free water were mixed in a total of 12  $\mu$ L volume. The mixture was denaturated for 5 minutes at 65°C, and placed on ice. After denaturation; 4  $\mu$ L reaction buffer, 1  $\mu$ L RNase inhibitor, 2  $\mu$ L dNTPs, and 1  $\mu$ L reverse transcriptase enzyme were added into the denaturated mixture, and placed on the PCR device. The reaction was ended after 5 minutes incubation at 70 °C following the incubation at 25°C for 5 minutes, and incubation at 42°C for 60 minutes.

#### **Quantitative Real-Time PCR**

The cDNAs obtained from patients, and from healthy controls were reproduced with real time PCR using special primer, and probes for FOXO3a, and ACTB genes. The target, and reference genes were together reproduced in the same reaction. The reaction conditions included a hot start of 10 minutes at 95°C and 45 cycles of amplification consisting of denaturation at 95°C for 30 seconds, annealing at 60°C for 30 seconds and extension at 72°C for 1 second. We used the FOXO3a / ACTB ratio for evaluating the relative expression level of FOXO3a in blood. At least two independent quantitative Real-Time PCR trials were performed for measuring the mean values for each specimen. The expression level of the FOXO3a gene of patients was compared with the expression in healthy controls.

The study was approved by the Local and Clinical Research Ethics Committee of Istanbul University (Ethical approval no: 2010/1104-373); according to the tenets of the Declaration of Helsinki (JAMA

1997; 277:925-926). The written informed consent was obtained from all participants or from the parents of children below 18 years of age. This work was supported by Scientific Research Projects Coordination Unit of Istanbul University (Project number: BAP-14286).

## **Statistical Analysis**

The expression level of FOXO3a gene was determined by measuring the differences between the threshold cycles (CT). The target gene expression was normalized with the ACTB housekeeping gene expression, and calculated using the below formula of  $2^{-\Delta\Delta CT}$  equation.

$$\Delta CT = CT_{FOXO3a} - CT_{ACTB}, \text{ and}$$
  
$$\Delta \Delta CT = CT_{Patient} - CT_{Healthy}$$

All tests were performed using the Statistical Package for the Social Sciences (SPSS) 21.0 program (SPSS, Chicago, IL, USA). The nonparametric tests were used during the analysis because the data showed no normal distribution. The changes in FOXO3a expression levels in patients, and healthy control groups were evaluated using the Kruskal Wallis, and Mann-Whitney U tests.

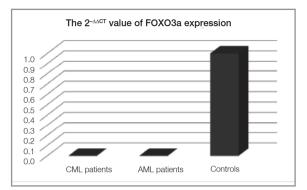
## RESULTS

51 patients were diagnosed with CML, and 50 patients were diagnosed with AML. Control group consisted of 34 healthy individuals. The biologic features of all patients, and healthy control group are demonstrated in Table 1. The median age of CML patients at diagnosis was 50±2.21 years(range 14-70 years); the median age of AML patients at diagnosis was 45±1.82 years (range 19-90 years); and the median age of control group was 50±2.85 years (range 21-70 years). 43.1% (22/51) of CML patients; 48% (24/50) of AML patients were diagnosed before 45 years; and also the age of 32.4% (11/34) of the control group was below 45 years. The female/male ratio in CML patients was 30/21; 26/24 in AML patients; and 21/13 in the healthy control group. BCR-ABL1 translocation rate was 96.1% for CML patients; and 2% for AML patients. There was a statistically difference between the expression level of the FOXO3a gene, and BCR-ABL1 translocation (p=0.000). The sur-

		Low FOXO3a Expression	High FOXO3a Expression	Total No (%)	р		
CML Patients	Age (years) 50±2.21 range (14-70 y)						
	< 45 years	22 (43.1%)	0 (0%)	22 (43.1%)	p= 0.955		
	$\geq$ 45 years	29 (56.9%)	0 (0%)	29 (56.9%)			
	Total	51 (100%)	0 (0%)	51 (100%)			
	Sex						
	Female	30 (58.8%)	0 (0%)	30 (58.8%)	p= 0.072		
	Male	21 (41.2%)	0 (0%)	21 (41.2%)			
	Total	51 (100%)	0 (0%)	51 (100%)			
	BCR-ABL1 t (9;22)						
	Yes	49 (96.1%)	0 (0%)	49 (96.1%)	p= 0.000*		
	No	2 (3.9%)	0 (0%)	2 (3.9%)			
	Total	51 (100%)	0 (0%)	51 (100%)			
	Survival						
	Alive	37 (72.5%)	0 (0%)	37 (72.5%)	p= 0.000*		
	Ex	14 (27.5%)	0 (0%)	14 (27.5%)			
	Total	51 (100%)	0 (0%)	51 (100%)			
AML Patients	Age (years) 45±1.82 range (19-90 y)						
	< 45 years	24 (48%)	0 (0%)	24 (48%)	p= 0.187		
	≥ 45 years	26 (52%)	0 (0%)	26 (52%)			
	Total	50 (100%)	0 (0%)	50 (100%)			
	Sex		- ( /				
	Female	26 (52%)	0 (0%)	26 (52%)	p= 0.954		
	Male	24 (48%)	0 (0%)	24 (48%)			
	Total	50 (100%)	0 (0%)	50 (100%)			
	BCR-ABL1 t (9;22)						
	Yes	1 (2%)	0 (0%)	1 (2%)	p= 0.000*		
	No	49 (98%)	0 (0%)	49 (98%)	P 0.000		
	Total	50 (100%)	0 (0%)	50 (100%)			
	Survival						
	Alive	24 (48%)	0 (0%)	24 (48%)	p= 0.000*		
	Ex	26 (52%)	0 (0%)	26 (52%)	p= 0.000		
	Total	50 (100%)	0 (0%)	50 (100%)			
Controls		.85 range (21-70 y)	0 (070)	00 (100 /0)			
00111013	< 45 years	2 (8.7%)	9 (81.8%)	11 (32.4%)	p= 0.000*		
	$\geq$ 45 years	2 (8.7 %) 21 (91.3%)	9 (81.8%) 2 (18.2%)	23 (67.6%)	p= 0.000		
	Total 23 (67.6%) 11 (32.4%) 34 (100%)						
	Sex	11 (47 00/)	10 (00 00/)	01 (61 00/)	p 0.000*		
	Female	11 (47.8%)	10 (90.9%)	21 (61.8%)	p= 0.002*		
	Male	12 (52.2%) 51 (67.6%)	1 (9.1%) 11 (32.4%)	13 (38.2%) 34 (100%)			

vival rate was 72.5% for CML patients; 48% for AML patients and there was a statistical difference between survival rate and FOXO3a gene expression (p=0.000).

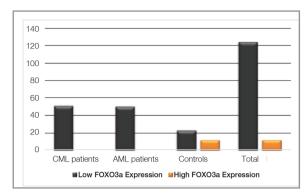
The expression level of the FOXO3a gene of 101 patients measured, and analyzed using the Quantitative Real-Time PCR method was compared with the results of 34 healthy individuals. The



**Figure 1.** The  $2^{-\Delta\Delta CT}$  value of FOXO3a expression in patients with CML and AML, and in the healthy controls

performed expression measurements showed that there was no statistically significant difference for FOXO3a gene expression between the CML, and AML patients. However, a statistically significant difference was detected in the comparison between both CML and AML patients and a healthy control group, separately. FOXO3a gene expression was shown to have decreased 50 folds in CML patients compared with the expression level in healthy control group and, also decreased 8 fold in AML patients compared with the expression levels in healthy control group. The measurements based on the mean values are presented in Table 2, and Figure 1.

The results of the statistical analysis using the SPSS showed that the expression level of FOXO3a was statistically significantly downregulated in CML patients, and in AML patients compared with the expression levels in healthy controls (p= 0.000). The decrease in FOXO3a gene expression in all(51/51; 50/50) CML, and AML patients was re-



**Figure 2.** The low and high expression level of FOXO3a gene in patients with CML and AML, and healthy controls

markable. Although the FOXO3a gene expression was downregulated in 67.6% (23/34), the FOXO3a gene expression was upregulated in 32.4% (11/34) in the control group. The FOXO3a gene expression was downregulated in 91.8% (124/135) of all individuals included in the study. The distribution of FOXO3a gene expression levels in the patients, and control group is demonstrated in Figure 2, and Table 3.

## DISCUSSION

Leukemias are the heterogenous neoplastic disease group originating from the malignant transformation of the hematopoietic cells. Acute leukemia is a disease characterized with the infiltration of blood, bone marrow, and myeloid or lymphoid cells to the other tissues. CML is a clonal hematopoietic stem cell disease associated with the increased myeloid cells in all stages of differentiation.<sup>13</sup> Presence of numerous genetic changes associated with AML,

**Table 2.** FOXO3a gene expression levels of the patients. The expression levels of the FOXO3a gene calculated using the  $2-\Delta\Delta$ CT equation. Both the target, and reference gene were amplified in the same well, and procedure was repeated three times for each specimen

The groups of patients and healthy controls	FOXO3a C <sub>7</sub>	ACTB C <sub>T</sub>	$\Delta C_{\tau}$ (Avg. FOXO3a C <sub><math>\tau</math></sub> - Avg. ACTB C <sub><math>\tau</math></sub> )	$\Delta\Delta \mathbf{C}_{T}$ ( Avg. $\Delta \mathbf{C}_{T}$ - Avg. $\Delta \mathbf{C}_{T}$ healthy)	Normalized FOXO3a amount relative to healthy 2 <sup>-ΔΔCT</sup>
CML patients	31.43	18.57	12.85	5.49	0.02
AML patients	29.20	18.92	10.28	2.92	0.13
Healthy Controls	27.83	20.47	7.35	0	1

	FOXO3a	FOXO3a	Total n (%)	р
	Low Expression n (%)	High Expression n (%)		
CML patients	51 (41.1%)	0 (0%)	51 (37.8%)	p= 0.000*
AML patients	50 (40.4%)	0 (0%)	50 (37%)	p= 0.000*
Controls	23 (18.5%)	11 (100%)	34 (25.2%)	p= 0.000*
Total	124 (91.8%)	11 (8.2%)	135 (100%)	

and CML facilitated the performing of intensive studies on molecular mechanisms. Oncogene activation, and inactivation of the tumor suppressor genes are the mechanisms which were shown to be effective in both acute and chronic leukemia.<sup>14</sup>

FOXO transcription factors are located in the connection point of various significant signal pathways, and therefore they have critical roles in various physiologic and pathologic processes. The deterioration in FOXO function results with uncontrolled cell proliferation, and accumulation of DNA damage.3 FOXO transcription factors have roles in many biologic processes such as proliferation, survival, and escape of leukemic cells from apoptosis.<sup>15,16</sup> The activation of FOXOs is also responsible from the formation of resistance mechanism in leukemia in addition to the cytotoxic reaction developing against anti-leukemia drugs. The FOXO3a enriched leukemia stem cells, and induced MDR genes contributed to the drug resistance in leukemia.3 The development of therapeutic agents which regain the functions of the tumor suppressor FOXOs may become a rational target for the treatment of leukemia. However, the double effects of FOXOs as a response to chemotherapy must be considered in developing the therapeutic agents targeting the FOXOs. In addition, FOXOs are the potential diagnostic and prognostic tools for leukemia in the clinic. FOXO proteins may represent the new therapeutic targets for a wider cancer spectrum.

The histopathologic research trying to associate the FOXO expression and localization with the disease occurrence in cancer patients showed that FOXOs have tumor suppressor role. Lower FOXO1 expression levels are associated with soft tissue sarcomas, AML, shorter disease free survival, and shorter overall survival in prostate, head-neck and

breast cancer.<sup>17-21</sup> Similarly, higher FOXO3a levels are associated with shorter disease free survival in clear cell renal carcinoma, colorectal, urothelial, neuroblastoma, and breast cancer.22-26 The FOX-O3a expression levels in patients with metastasis were found significantly lower compared with the primer tumor, and thus showed that the decrease in FOXO levels might be a significant prognostic indicator in the comparison of primer colorectal cancer patients, and patients with liver metastasis.<sup>22</sup> However, one study performed particularly on AML patients showed that the increased expression of FOXO3a gene was associated with poor prognosis in AML patients which showed normal cytogenetic features.<sup>27</sup> This was the evidence that FOXO3a gene have both tumor suppressor, and oncogenic features. We suggest that the cellular localization of the FOXO3a gene might be effective in the emergence of this mechanism. We found in our study investigating the FOXO3a gene expression level in CML, and AML patients that FOXO3a gene expression was statistically significantly decreased in all patients compared with the expression in the healthy control group. We also observed a dramatic decrease in all 101 (100%) patients in our study group consisting of 51 CML, and 50 AML patients. Therefore, these results are the significant evidence that the downregulation of the forkhead transcription factor FOXO3a contributed to the tumorigenesis of this gene in CML, and AML.

The Philadelphia (Ph) chromosome is formed by a reciprocal t(9; 22) (q34; q11) translocation between the long arms of chromosomes 9 and 22, resulting in fusion of the BCR and ABL1 genes.<sup>28</sup> BCR-ABL1 protein, specifically structurally active tyrosine kinase activity that constitutes CML pathogenesis. Tyrosine kinase activation increases in the presence of t (9; 22) translocation, and today tyrosine kinase inhibitors (TKIs) are used as the treatment approach in CML patients.<sup>29</sup> The presence of BCR-ABL1 can explain virtually all the cellular features of the leukaemia such as enhanced cell growth, inhibition of apoptosis, altered cell adhesion, growth factor independence, impaired genomic surveillance and differentiation. BCR-ABL1 promotes nuclear export and deactivation of FOXO transcription factors via PI3K/Akt.<sup>30</sup> Measuring BCR-ABL1 transcript levels by quantitative PCR allows monitoring of initial treatment response and prediction of treatment failure and / or disease progression.<sup>31</sup> As a result of our study, the comparison of FOXO3a expression levels of CML and AML patients in accordance with the BCR-ABL1 translocation status showed that 49 CML patients had translocations and FOXO3a expression decreased dramatically in all these patients. This dramatic decrease in FOXO3a expression in CML patients with BCR-ABL1 translocation may lead to suppression of tyrosine kinase activity and consequently drug resistance to tyrosine kinase inhibitors administered to patients. 0.5-3% of all AML cases also carry BCR-ABL1 fusion gene.32 Therefore, TKIs are not recommended as the firstline treatment option for AML patients. In our study, BCR-ABL1 translocation was seen in only 2% of the AML patients and this rate is compatible with the literature. In addition, the survival rate of the patients wasfound as 72.5% in CML patients, and as 48% in AML patients. This survival rate in both CML and AML patients is also compatible with the literature.33-35

We suggest that this study might be an important report on emphasizing FOXO3a gene expression profiles in AML, and CML patients. Our study results suggested that the FOXO3a gene has a role in the oncogenesis of CML and AML and might be used as a therapeutic target in treatment of leukemia, and also could be a potential prognostic biomarker. Although the roles of FOXO proteins have not been defined in CML, and AML patients yet, further studies are required for a full understanding of the FOXO3a gene regulation mechanisms in leukemia cells.

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